



10002048, 030702

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PATENT

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Docket No. 10002048

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on March 1, 2002.

Lawrence E. Maier

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Claes Wahlestedt : Paper No.  
Serial No.: 10/002,048 : Group Art Unit: 1645  
Filing Date: November 2, 2001 : Examiner:  
For: **Single Nucleotide Polymorphisms**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION-FILING DATE GRANTED**

BOX Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application-Filing  
Date Granted January 11, 2002, submitted herewith are the following:

- (1) Declaration and Power of Attorney signed by the Inventors;
- (2) Substitute Specification (18 pages + 6 pages of Sequence Listing);
- (3) Nine Sheets Formal Drawings;
- (4) Information Disclosure Statement;
- (5) Form PTO-1449;
- (6) Copies of cited references;
- (7) Transmittal of Certified Priority Document;
- (8) Certified Priority Document;
- (9) Government Surcharge Fee (37 CFR 1.16(e)) of \$130.00 by check; and

- (10) A copy of the Notice to File Missing Parts of Application-Filing Date Granted, dated January 11, 2002.

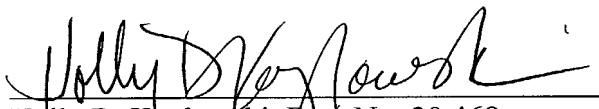
As specified in the Attachment to the Notice to File Missing parts, a diskette containing the sequence listing in computer readable form and the Statement of Sequence Listing Identity have been submitted under separate cover to Box Sequence, P.O. Box 2327; Arlington, VA 22202.

The substitute specification and formal drawings submitted herewith contain no new matter.

It is believed that this represents a complete response to the Notice. Please charge any additional fees required in connection with the present Response, and credit any excess in fees paid, to Deposit Account No. 04-1133.

Respectfully submitted,

By:



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/002,048	11/02/2001	Claes Wahlestedt	10806-143

24256  
DINSMORE & SHOHL, LLP  
1900 CHEMED CENTER  
255 EAST FIFTH STREET  
CINCINNATI, OH 45202

CONFIRMATION NO. 4690

FORMALITIES LETTER



\*OC00000007297025\*

Date Mailed: 01/11/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/12/2002 SZEWDIE1 00000006 10002048

01 FC:105

130.00 DP

FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 7-18 are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because:*

*Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE